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Attorney Docket No.500.819US1

SCHWEGMAN □ LUNDBERG □ WOESSNER □ KLUTH

United States Patent Application  
COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: REAL-TIME RESERVATION OF CHARGES FOR PRE-PAID SERVICES.

The specification of which was filed on September 20, 2001 as application serial no. 09/960,261.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. §1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

**No such claim for priority is being made at this time.**

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I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Anglin, J. Michael	Reg. No. 24,916	Greaves, John N.	Reg. No. 40,362	Nelson, Albin J.	Reg. No. 28,650
Aronoff, Marvin S.	Reg. No. 35,052	Haack, John L.	Reg. No. 36,154	Nicholson, Lea A.	Reg. No. 48,346
Arora, Suneel	Reg. No. 42,267	Harris, Robert J.	Reg. No. 37,346	Nielsen, Walter W.	Reg. No. 25,539
Beekman, Marvin L.	Reg. No. 38,377	Jackson Huebsch, Katharine A.	Reg. No. 47,670	Padys, Danny J.	Reg. No. 35,635
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Please direct all correspondence in this case to **Schwegman, Lundberg, Woessner & Kluth, P.A.** at the address indicated below:

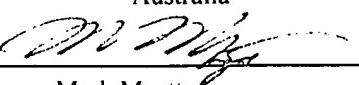
P.O. Box 2938, Minneapolis, MN 55402  
Telephone No. (612)373-6900

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number 1 : Mark Myatt

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Signature: 

Mark Myatt

Date: 13 March 2002

Full Name of joint inventor number 2 : Felim O'Neil

Citizenship: Ireland Residence: Rahoon, Galway, Ireland

Post Office Address:  
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Rahoon, Galway  
Ireland

Signature: 

Felim O'Neil

Date: 

Additional inventors are being named on separately numbered sheets, attached hereto.

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Full Name of joint inventor number 3 : Malcolm Crouch

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Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Malcolm Crouch

Full Name of joint inventor number 4 : Michael Jenvy

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Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Michael Jenvy

Full Name of joint inventor number 5 : Graham Agnew

Citizenship: Australia Residence: Brisbane, Queenlands, Australia  
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Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
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Full Name of joint inventor number 6 : Michael Roseberg

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Everton Hills  
Brisbane, Queensland 4053  
Australia

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Michael Roseberg

§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.



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Full Name of joint inventor number 1 : Mark Myatt  
Citizenship: Australia Residence: Brisbane, Queensland, Australia  
Post Office Address: 29 Briarwood St  
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Brisbane, Queensland 4152  
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Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Mark Myatt

Full Name of joint inventor number 2 : Felim O'Neill *f/n*  
Citizenship: Ireland O'Neill Residence: Rahoon, Galway, Ireland  
Post Office Address: 56 Cruachan Park  
Rahoon, Galway  
Ireland  
  
Signature: Felim O'Neill *f/n*

Date: 22 - Feb - 2002

Additional inventors are being named on separately numbered sheets, attached hereto.

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Malcolm Crouch

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Citizenship: Australia Residence: Brisbane, Queensland, Australia  
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Brisbane, Queensland 4069  
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Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Michael Jenvy

Full Name of joint inventor number 5 : Graham Agnew

Citizenship: Australia Residence: Brisbane, Queenlands, Australia  
Post Office Address: 1 Forte Crt  
Bridgeman Downs  
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Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Graham Agnew

Full Name of joint inventor number 6 : Michael Roseberg

Citizenship: Australia Residence: Brisbane, Queensland, Australia  
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Everton Hills  
Brisbane, Queensland 4053  
Australia

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Michael Roseberg

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Full Name of joint inventor number 1 : Mark Myatt

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 Brisbane, Queensland 4152  
 Australia

Residence: Brisbane, Queensland, Australia

Signature:

Mark Myatt

Date: \_\_\_\_\_

Attorney Docket No.: 500.819US1  
Serial No. 09/960,261  
Filing Date: September 20, 2001

Page 3 of 5

Full Name of joint inventor number 2 : Felim O'Neill

Citizenship: Ireland

Residence: Rahoon, Galway, Ireland

Post Office Address:  
56 Cruachan Park  
Rahoon, Galway  
Ireland

Signature:

Felim O'Neill

Date:

X Additional inventors are being named on separately numbered sheets, attached hereto.

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Signature:

Malcolm Crouch

Date: \_\_\_\_\_

Full Name of joint inventor number 4 : Michael Jenvey

Citizenship: Australia

Post Office Address:  
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Brisbane, Queensland 4069  
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Residence: Brisbane, Queensland, Australia

Signature:

Michael Jenvey

Date: \_\_\_\_\_

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Brisbane, Queensland 4035  
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Graham Agnew

Date: \_\_\_\_\_

Full Name of joint inventor number 6 : Michael Rosenberg

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Signature:

Michael Rosenberg

Date: \_\_\_\_\_

Attorney Docket No.: 500.819US1  
Serial No. 09/960,261  
Filing Date: September 20, 2001

Page 5 of 5

### § 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
- (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

COPY OF PAPERS  
ORIGINALLY FILED

Attorney Docket No.500.819US1



SCHWEGMAN ■ LUNDBERG ■ WOESSNER ■ KLUTH

## United States Patent Application COMBINED DECLARATION AND POWER OF ATTORNEY

As a below-named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **REAL-TIME RESERVATION OF CHARGES FOR PRE-PAID SERVICES.**

The specification of which was filed on September 20, 2001 as application serial no. 09/960,261.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. §1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

**No such claim for priority is being made at this time.**

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

**No such claim for priority is being made at this time.**

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

**No such claim for priority is being made at this time.**

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Anglin, J. Michael	Reg. No. 24,916	Greaves, John N.	Reg. No. 40,362	Nelson, Albin J.	Reg. No. 28,650
Aronoff, Marvin S.	Reg. No. 35,052	Haack, John L.	Reg. No. 36,154	Nicholson, Lea A.	Reg. No. 48,346
Arora, Suneel	Reg. No. 42,267	Harris, Robert J.	Reg. No. 37,346	Nielsen, Walter W.	Reg. No. 25,539
Beekman, Marvin L.	Reg. No. 38,377	Jackson Huebsch, Katharine A.	Reg. No. 47,670	Padys, Danny J.	Reg. No. 35,635
Berdie, Raymond R.	Reg. No. P-50,769	Jurkovich, Patti J.	Reg. No. 44,813	Parker, J. Kevin	Reg. No. 33,024
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Full Name of joint inventor number 1 : Mark Myatt  
Citizenship: Australia  
Post Office Address:  
29 Briarwood St  
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Australia

Residence: Brisbane, Queensland, Australia

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Mark Myatt

Full Name of joint inventor number 2 : Felim O'Neil  
Citizenship: Ireland  
Post Office Address:  
56 Cruachan Park  
Rahoon, Galway  
Ireland

Residence: Rahoon, Galway, Ireland

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Felim O'Neil

Additional inventors are being named on separately numbered sheets, attached hereto.

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Full Name of joint inventor number 3 : Malcolm Crouch

Citizenship: Australia

Residence: Toronto, Ontario, Canada

Post Office Address: 11 Turnberry Crescent

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Toronto, Ontario L3R 0R7

Canada

Signature: Malcolm Crouch

Date:

18th February 2002

Full Name of joint inventor number 4 : Michael Jenvy Jenvey 10

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Residence: Brisbane, Queensland, Australia

Post Office Address: 3 Cassandra Street

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Brisbane, Queensland 4069  
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Signature:

Michael Jenvy

Date:

Full Name of joint inventor number 5 : Graham Agnew

Citizenship: Australia

Residence: Brisbane, Queenlands, Australia

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Eridgeman Downs  
Brisbane, Queenlands 4035  
Australia

Signature:

Graham Agnew

Date:

Full Name of joint inventor number 6 : Michael Roseberg 80

Citizenship: Australia

Residence: Brisbane, Queensland, Australia

Post Office Address: 12 Marianne St

Everton Hills  
Brisbane, Queensland 4053  
Australia

Signature:

Michael Roseberg

Date:

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COPY OF PAPER  
ORIGINALLY FILED

Attorney Docket No. 500.819US1

SCHWEGMAN ■ LANDIS &amp; BOKWOESSNER ■ KLUTH

## United States Patent Application

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Full Name of joint inventor number 1 : Mark Myatt

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 Brisbane, Queensland 4152  
 Australia

Residence: Brisbane, Queensland, Australia

Signature: \_\_\_\_\_

Mark Myatt

Date: \_\_\_\_\_

Full Name of joint inventor number 2 : Felim O'Neil

Citizenship: Ireland

Post Office Address:  
 56 Cruachan Park  
 Rahoon, Galway  
 Ireland

Residence: Rahoon, Galway, Ireland

Signature: \_\_\_\_\_

Felim O'Neil

Date: \_\_\_\_\_

Attorney Docket No.: 500.819US1  
 Serial No. 09/960,261  
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Page 4 of 1

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Full Name of joint inventor number 3 : Malcolm Crouch

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Malcolm Crouch

Date:

Full Name of joint inventor number 4 : Michael Jenvy

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 Australia

Signature:



Michael Jenvy

Date:

Full Name of joint inventor number 5 : Graham Agnew

Citizenship: Australia

Residence: Brisbane, Queensland, Australia

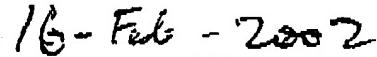
Post Office Address: 1 Forte Ct  
 Bridgeman Downs  
 Brisbane, Queensland 4035  
 Australia

Signature:



Graham Agnew

Date:



16 - Feb - 2002

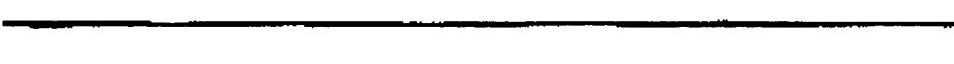
Full Name of joint inventor number 6 : Michael Rosenberg

Citizenship: Australia

Residence: Brisbane, Queensland, Australia

Post Office Address: 12 Marianne St  
 Everton Hills  
 Brisbane, Queensland 4053  
 Australia

Signature:



Michael Rosenberg

Date:



SCHWEGMAN □ LUNDBERG □ WOESSNER □ KLUTH

## United States Patent Application

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The specification of which was filed on September 20, 2001 as application serial no. 09/960,261.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. § 1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

**No such claim for priority is being made at this time.**

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

**No such claim for priority is being made at this time.**

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

**No such claim for priority is being made at this time.**

Schwagman, Lundberg,  
Woessner & Kluth, P.A.

FEB 25 2002

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I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Anglin, J. Michael	Reg. No. 24,916	Greaves, John N.	Reg. No. 40,362	Nelson, Albin J.	Reg. No. 28,650
Aronoff, Marvin S.	Reg. No. 35,052	Haack, John L.	Reg. No. 36,154	Nicholson, Lea A.	Reg. No. 48,346
Arora, Sunee	Reg. No. 42,267	Harris, Robert J.	Reg. No. 37,346	Nielsen, Walter W.	Reg. No. 25,539
Beekman, Marvin L.	Reg. No. 38,377	Jackson Huebsch, Katharine A.	Reg. No. 47,670	Padys, Danny J.	Reg. No. 35,635
Berdie, Raymond R.	Reg. No. P-50,769	Jurkovich, Patti J.	Reg. No. 44,813	Parker, J. Kevin	Reg. No. 33,024
Bianchi, Timothy E.	Reg. No. 39,610	Kalis, Janal M.	Reg. No. 37,650	Perdok, Monique M.	Reg. No. 42,989
Billion, Richard E.	Reg. No. 32,836	Klima-Silberg, Catherine I.	Reg. No. 40,052	Peret, Andrew R.	Reg. No. 41,246
Black, David W.	Reg. No. 42,331	Kluth, Daniel J.	Reg. No. 32,146	Peterson, David C.	Reg. No. 47,857
Brennan, Thomas F.	Reg. No. 35,075	Lacy, Rodney L.	Reg. No. 41,136	Prout, William F.	Reg. No. 33,995
Brooks, Edward J., III	Reg. No. 40,925	Lemaire, Charles A.	Reg. No. 36,198	Schumm, Sherry W.	Reg. No. 39,422
Chadwick, Robin A.	Reg. No. 36,477	LeMoine, Dana B.	Reg. No. 40,062	Schwegman, Micheal L.	Reg. No. 25,816
Clark, Barbara J.	Reg. No. 38,107	Lundberg, Steven W.	Reg. No. 30,568	Scott, John C.	Reg. No. 38,613
Clise, Timothy B.	Reg. No. 40,957	Maki, Peter C.	Reg. No. 42,832	Smith, Michael G.	Reg. No. 45,368
Cochran, David R.	Reg. No. 46,632	Malen, Peter L.	Reg. No. 44,894	Speier, Gary J.	Reg. No. 45,458
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Forrest, Bradley A.	Reg. No. 30,837	Mehrle, Joseph P.	Reg. No. 45,535	Tong, Viet V.	Reg. No. 45,416
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Gortych, Joseph E.	Reg. No. 41,791	Nama, Kash	Reg. No. 44,255		

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to **Schwegman, Lundberg, Woessner & Kluth, P.A.** at the address indicated below:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Felim O'Neil

Date: \_\_\_\_\_

Additional inventors are being named on separately numbered sheets, attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 101 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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m j Roseb  
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" mR

§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.